

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 1.53(b))

Attorney Docket No.

11983.0045

First Inventor

DeNies, et al

Title

Device and Method for Network

Express Mail Label No.

EK049304427US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See CFR 1.27.
3. ☒ Specification [Total Pages / 34 /]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets / 4 /]
5. ☐ Oath or Declaration [Total Pages / /]
- a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other: Checks in the amount of \$764 and \$188

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

Prior application information: Examiner: W. Deane

of the prior application No: 09/057,681 - Filed: 04/09/1998

Group/Art Unit: 2742

For **CONTINUATION OR DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

| | | | | | |
|---------|---|-----------|----------------|----------|----------------|
| NAME | R. Kent Roberts | | | | |
| | Hodgson, Russ, Andrews, Woods & Goodyear, LLP | | | | |
| ADDRESS | One M&T Plaza, Suite 2000 | | | | |
| CITY | Buffalo | STATE | New York | ZIP CODE | 14203-2391 |
| COUNTRY | United States of America | TELEPHONE | (716) 856-4000 | FAX | (716) 849-0349 |

"Express Mail" Mailing Label Number EK049304427US

Date of Deposit March 26, 2001

I hereby Certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

R. Kent Roberts

Name
BFLDOCS:482974_1 (@CNY01)

Signature

FEE TRANSMITTAL for FY 2000

Patent Fees are subject to annual revision.

| | |
|------------------------|----------------|
| Application Number | |
| Filing Date | March 26, 2001 |
| First Named Inventor | DeNies, et al |
| Examiner Name | |
| Group/Art Unit | |
| Attorney Docket Number | 11983.0045 |

TOTAL AMOUNT OF PAYMENT

(\$ 952)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

3. ADDITIONAL FEES

Deposit Account Number: 08-2442
Deposit Account Name:
Hodgson, Russ, Andrews, Woods & Goodyear, LLP

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|-----------------|----------|
|----------------|-----------------|----------------|-----------------|-----------------|----------|

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

| | | | | | |
|-----|-----|-----|----|-------------------------------------|----|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | \$ |
|-----|-----|-----|----|-------------------------------------|----|

☐ Applicant claims small entity status. See 37 CFR 1.27.

| | | | | | |
|-----|----|-----|----|--|----|
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | \$ |
|-----|----|-----|----|--|----|

2. Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

| | | | | | |
|-----|-----|-----|-----|---------------------------|----|
| 139 | 130 | 139 | 130 | Non-English specification | \$ |
|-----|-----|-----|-----|---------------------------|----|

FEE CALCULATION

| | | | | | |
|-----|-------|-----|-------|--|----|
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | \$ |
|-----|-------|-----|-------|--|----|

1. FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|-----------------|----------|
|----------|----------|----------|----------|-----------------|----------|

| | | | | | |
|-----|------|-----|------|--|----|
| 112 | 920* | 112 | 920* | Requesting Publication of SIR prior to Examiner Action | \$ |
|-----|------|-----|------|--|----|

| | | | | | |
|-----|--------|-----|--------|---|----|
| 113 | 1,840* | 113 | 1,840* | Requesting Publication of SIR after Examiner Action | \$ |
|-----|--------|-----|--------|---|----|

| | | | | | |
|-----|-----|-----|-----|--------------------|--------|
| 101 | 710 | 201 | 355 | Utility filing fee | \$ 710 |
|-----|-----|-----|-----|--------------------|--------|

| | | | | | |
|-----|-----|-----|----|--|----|
| 115 | 110 | 215 | 55 | Extension for reply within first month | \$ |
|-----|-----|-----|----|--|----|

| | | | | | |
|-----|-----|-----|-----|-------------------|----|
| 106 | 320 | 206 | 160 | Design filing fee | \$ |
|-----|-----|-----|-----|-------------------|----|

| | | | | | |
|-----|-----|-----|-----|---|----|
| 116 | 390 | 216 | 195 | Extension for reply within second month | \$ |
|-----|-----|-----|-----|---|----|

| | | | | | |
|-----|-----|-----|-----|------------------|----|
| 107 | 490 | 207 | 245 | Plant filing fee | \$ |
|-----|-----|-----|-----|------------------|----|

| | | | | | |
|-----|-----|-----|-----|--|----|
| 117 | 890 | 217 | 445 | Extension for reply within third month | \$ |
|-----|-----|-----|-----|--|----|

| | | | | | |
|-----|-----|-----|-----|--------------------|----|
| 108 | 710 | 208 | 355 | Reissue filing fee | \$ |
|-----|-----|-----|-----|--------------------|----|

| | | | | | |
|-----|-------|-----|-----|---|----|
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | \$ |
|-----|-------|-----|-----|---|----|

| | | | | | |
|-----|-----|-----|----|------------------------|----|
| 114 | 150 | 214 | 75 | Provisional filing fee | \$ |
|-----|-----|-----|----|------------------------|----|

| | | | | | |
|-----|-------|-----|-----|--|----|
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | \$ |
|-----|-------|-----|-----|--|----|

SUBTOTAL (1)

| | | | | | |
|-----|-----|-----|-----|------------------|----|
| 119 | 310 | 219 | 155 | Notice of Appeal | \$ |
|-----|-----|-----|-----|------------------|----|

2. EXTRA CLAIM FEES

Extra Fee from Claims below

Fee Paid

| | | |
|--------------|---------------------------|-------|
| Total Claims | /29/ -20** = /9/ x /18/ = | \$162 |
|--------------|---------------------------|-------|

| | | | | | |
|-----|-----|-----|-----|--|----|
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | \$ |
|-----|-----|-----|-----|--|----|

| | | |
|--------------------|--------------------------|------|
| Independent Claims | /4/ - 3** = /1/ x /80/ = | \$80 |
|--------------------|--------------------------|------|

| | | | | | |
|-----|-----|-----|-----|--------------------------|----|
| 121 | 270 | 221 | 135 | Request for oral hearing | \$ |
|-----|-----|-----|-----|--------------------------|----|

| | | |
|--------------------|-------------|----|
| Multiple dependent | / / x / / = | \$ |
|--------------------|-------------|----|

| | | | | | |
|-----|-------|-----|-------|---|----|
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | \$ |
|-----|-------|-----|-------|---|----|

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|-----------------|----------|
|----------|----------|----------|----------|-----------------|----------|

| | | | | | |
|-----|-------|-----|-----|------------------------------------|----|
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | \$ |
|-----|-------|-----|-----|------------------------------------|----|

| | | | | | |
|-----|----|-----|---|------------------------|----|
| 103 | 18 | 203 | 9 | Claims in excess of 20 | \$ |
|-----|----|-----|---|------------------------|----|

| | | | | | |
|-----|-------|-----|-----|--|----|
| 142 | 1,240 | 242 | 620 | 10 advance copies Utility issue fee (or reissue) | \$ |
|-----|-------|-----|-----|--|----|

| | | | | | |
|-----|----|-----|----|-----------------------------------|----|
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 | \$ |
|-----|----|-----|----|-----------------------------------|----|

| | | | | | |
|-----|-----|-----|-----|------------------|----|
| 143 | 440 | 243 | 220 | Design issue fee | \$ |
|-----|-----|-----|-----|------------------|----|

| | | | | | |
|-----|-----|-----|-----|--------------------------------------|----|
| 104 | 270 | 204 | 135 | Multiple dependent claim if not paid | \$ |
|-----|-----|-----|-----|--------------------------------------|----|

| | | | | | |
|-----|-----|-----|-----|-----------------|----|
| 144 | 600 | 244 | 300 | Plant issue fee | \$ |
|-----|-----|-----|-----|-----------------|----|

| | | | | | |
|-----|----|-----|----|---|----|
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent | \$ |
|-----|----|-----|----|---|----|

| | | | | | |
|-----|-----|-----|-----|-------------------------------|----|
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | \$ |
|-----|-----|-----|-----|-------------------------------|----|

| | | | | | |
|-----|----|-----|---|---|----|
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent | \$ |
|-----|----|-----|---|---|----|

| | | | | | |
|-----|----|-----|----|---|----|
| 123 | 50 | 123 | 50 | Petitions related to provisional applications | \$ |
|-----|----|-----|----|---|----|

| | | | | | |
|-----|-----|-----|-----|--|----|
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Statement | \$ |
|-----|-----|-----|-----|--|----|

| | | | | | |
|-----|-----|-----|-----|--|----|
| 126 | 240 | 126 | 240 | Submission of Information Disclosure Statement | \$ |
|-----|-----|-----|-----|--|----|

SUBTOTAL (2)

\$242

| | | | | | |
|-----|----|-----|----|--|----|
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | \$ |
|-----|----|-----|----|--|----|

SIGNATURE:



| | | | | | |
|-----|-----|-----|-----|--|----|
| 146 | 710 | 246 | 355 | Filing a submission after final rejection(37 CFR 1.129(a)) | \$ |
|-----|-----|-----|-----|--|----|

R. Kent Roberts

Reg. No. 40,786

| | | | | | |
|-----|-----|-----|-----|--|----|
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR 1.129(b)) | \$ |
|-----|-----|-----|-----|--|----|

DATE: March 26, 2001 Telephone: (716) 848-1510

*Reduced by basic filing fee paid

SUBTOTAL (3)

\$0

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Date of Deposit March 26, 2001